

# ***The Mechanism of Activating SuM Modified ABN to Improve Memory and Emotional Function in AD***

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**Abstract:** Alzheimer's disease (AD) patients experience declining memory, anxiety, and depression along with a decrease in adult hippocampal neurogenesis (AHN). It's still unclear if improving AHN in the damaged AD brain will improve affective and cognitive performance. According to earlier studies, in two different AD mice models, 5xFAD and 3xTg-AD, patterned optogenetic activation of the hypothalamic supramammillary nucleus (SuM) increases AHN. Interestingly, these AD mice's memory and emotional deficiencies are restored when SuM-enhanced adult-born neurons (ABNs) are chemogenetic activated. In contrast, behavioral impairments cannot be restored by SuM stimulation alone or by activating ABNs without modifying SuM. This paper will design 3 experiments to explore the mechanism of activating SuM modified ABN to improve memory and emotional function in AD, including who enhances cognitive and emotional function, the activation of microglia and the long-term effect of SuM modified ABNs on memory and emotion function of AD mice.

**Keywords:** adult hippocampal neurogenesis, supramammillary nucleus, Alzheimer's disease, microglia, adult-born neurons

## **1. Introduction**

### **1.1. Background**

Adult hippocampal neurogenesis (AHN) refers to the process by which neural stem cells (NSCs) can proliferate and differentiate into functionally integrated neurons in the adult mammalian brain, particularly in the dentate gyrus (DG) region of the hippocampus [1]. This process is important for understanding brain plasticity and the pathogenesis of certain neuropsychiatric disorders such as depression, Alzheimer's disease, etc [2].

Studies have shown that adult hippocampal neurogenesis is closely related to cognitive function. Newly generated neurons play an important role in memory formation, learning, and cognitive flexibility. They may enhance brain plasticity by participating in the reorganization and renewal of neural networks [3].

Neuropsychiatric abnormalities in the adult hippocampus are associated with a variety of neuropsychiatric disorders. For example, a decrease in hippocampal volume and neurogenesis was observed in patients with depression; In patients with Alzheimer's disease, severe inhibition of neurogenesis is found [4].

A crucial subcortical area of the hypothalamus, the supramammillary nucleus (SuM) is highly receptive to pro-neurogenic stimuli and sends many projections to the DG. When mice are exposed to a novel environment, SuM neurons show higher firing frequency, calcium dynamics, and c-Fos expression. Crucially, ablation of SuM neurons eliminates the effects of environmental novelty (EN)-induced augmentation of AHN, indicating that SuM neurons are necessary for this process. These results suggest the intriguing prospect that activating the SuM could resemble EN-induced AHN amplification.

The number of behaviorally relevant ABNs with improved developmental features increases when SuM neurons are patterned optogenetically stimulated at a frequency that mimics their firing rate in the novel environment.[5]. A tiny population of time-stamped SuM-enhanced ABNs can be acutely chemogenetic activated to boost memory function and decrease anxiety-like behavior. Even a little increase in the activity of SuM-enhanced ABNs can have a major positive behavioral impact.

## 1.2. Previous research

Using two different AD mice models, 5×FAD and 3×Tg-AD, researchers tested this innovative AHN-enhancing method in deteriorated AD brains to see if it might restore AHN and achieve functional recovery [1].

Researchers discovered that the quantity and developmental characteristics of ABNs are restored in AD mice at different disease stages (from early to late) with programmed optogenetic activation of SuM. Significantly, memory can be restored and anxiety/depression-like behaviors can be decreased in AD mice by acutely chemogenetic activation of a limited population of SuM-enhanced ABNs. On the other hand, behavioral impairments in AD mice cannot be restored by SuM stimulation alone or by acute activation of ABNs without SuM alteration [1]. They carried out quantitative phosphoproteomics of the hippocampus after acute chemogenetic activation of SuM-enhanced ABNs in order to investigate ABN-activity-dependent processes. Their investigations showed that acute activation of SuM-enhanced ABNs activated the canonical pathways linked to synaptic plasticity and microglia plaque phagocytosis [1].

The findings show that in two different AD mice models, 5×FAD and 3×Tg-AD, patterned optogenetic activation of the hypothalamic supramammillary nucleus (SuM) increases AHN. Interestingly, these AD mice's memory and emotional deficiencies are restored when SuM-enhanced adult-born neurons (ABNs) are chemogenetic activated. In contrast, behavioral impairments cannot be restored by SuM stimulation alone or by activating ABNs without modifying SuM (see Figure 1). Moreover, after acute chemogenetic activation of SuM-enhanced (as opposed to control) ABNs, quantitative phosphoproteomics analyses show activation of the canonical pathways associated with synaptic plasticity and microglia phagocytosis of plaques. The research demonstrates the activity-dependent role of SuM-enhanced ABNs in modifying impairments associated with AD and provides insights into the signaling pathways that are triggered by the activation of SuM-enhanced ABNs [1].

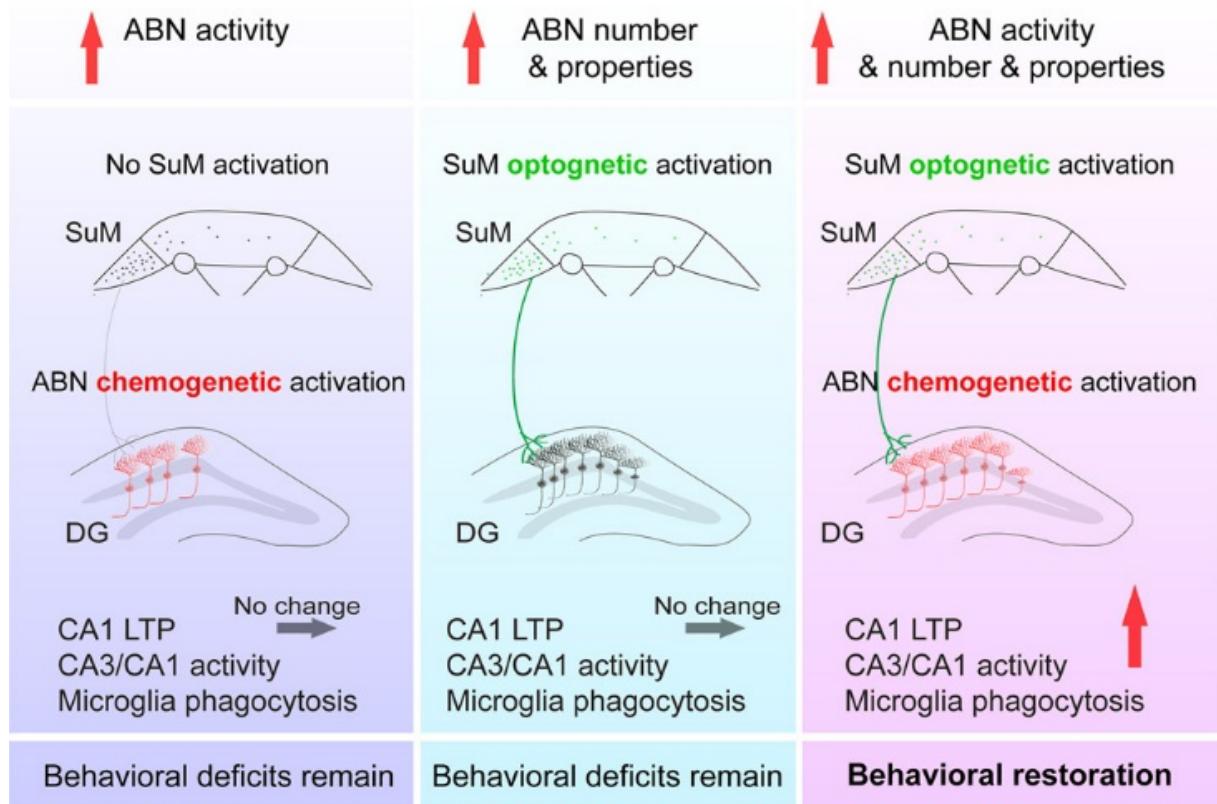


Figure 1: Graphical abstract of previous research [1]

### 1.3. Highlight

Generally, in AD mice who received SuM-enhanced ABNs activation, researchers found that microglia were activated and had an increased ability to engulf Abeta.

It's already known that both activation of SuM-enhanced ABNs and activation of microglia were associated with cognitive and emotional improvements in AD mice. But who directly led to the improvements remains unclear? Besides, we still don't know the relationship between ABNs and microglia.

In this work, regarding the direct factors of improvements in cognition and emotion, we suppose that is caused by both SuM-enhanced ABNs activation and microglia activation. Therefore, the second question emerges: who activate microglia? From our perspective, both Abeta and SuM-enhanced ABNs activation can stimulate microglia. After solving these two questions above, we wonder whether memory and cognitive enhancement lasts long, so we design a third experiment to figure out the question.

## 2. Experiments

### 2.1. Explore the mechanism of cognitive and emotional function enhancement

#### 2.1.1. Hypothesis

In previous research, the activation of AHN by SuM results in an enhancement of cognitive and emotional functioning in mice. But the morphology and behaviors of microglia were both changed in their experiments. It's unknown whether microglia behaviors would influence emotional and cognitive abilities, the exact association between microglia and ABNs is not clear yet. Therefore, based on the

previous experimental results, our hypothesis is that ABNs are the underlying cause of changes in cognitive ability and mood. The behaviour of microglia may contribute indirectly.

### 2.1.2. Method

To study ABNs and microglia separately. We devise two approaches where they can individually block ABNs and microglial cells separately.

The survival of microglia relies on colony-stimulating factor 1 receptor (CSF1R) signaling. Efficacy in inducing microglial elimination with CSF1R inhibitors (99%). CSF1R inhibitor PLX3397 is an FDA-approved drug for the treatment of glioblastoma. Some clinical data suggest that the drug has a high efficacy in the depletion of microglia in the human brain [6]. We added this to the feed of the model mice for microglial elimination.

In order to remove ABNs completely, we revert to using CRE proteins system (see Figure 2). A neural stem cell-exclusive NSC promoter was used, and then an shRNA sequence was added after the loxp sequence [7]. We hope that by means of shRNA interference, the shRNA will bind to the mRNA of cyclinE after the addition of tamoxifen. As a result, the neural stem cell cycle will be abnormal and ABNs will be completely blocked.

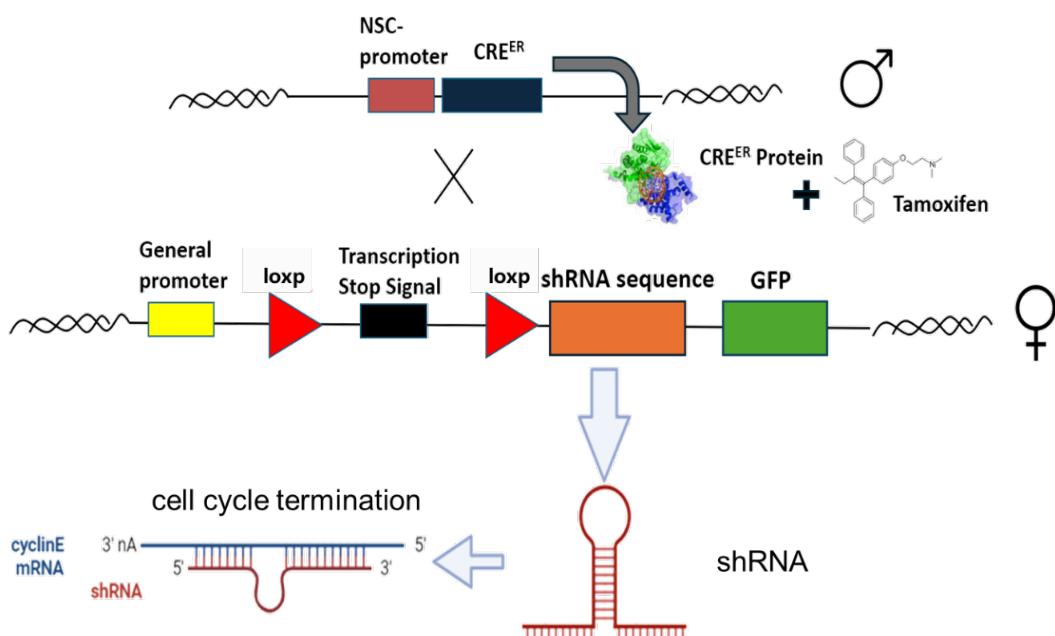


Figure 2: CRE system to remove ABNs

The FAD mouse was used as a model. We designed a total of four groups, blocking ABNs, blocking microglia, and blocking nothing, stimulating both SuM and ABNs as positive control, and doing nothing to the model as negative control (see Figure 3).

Finally, we will verify whether the cognitive abilities and emotions of the mice are altered by behavioral tests, which include Novel Object Recognition (NOR), Novel Location Recognition (NLR), Morris Water Maze (MWM) and Contextual Fear Conditioning (CFC) test.

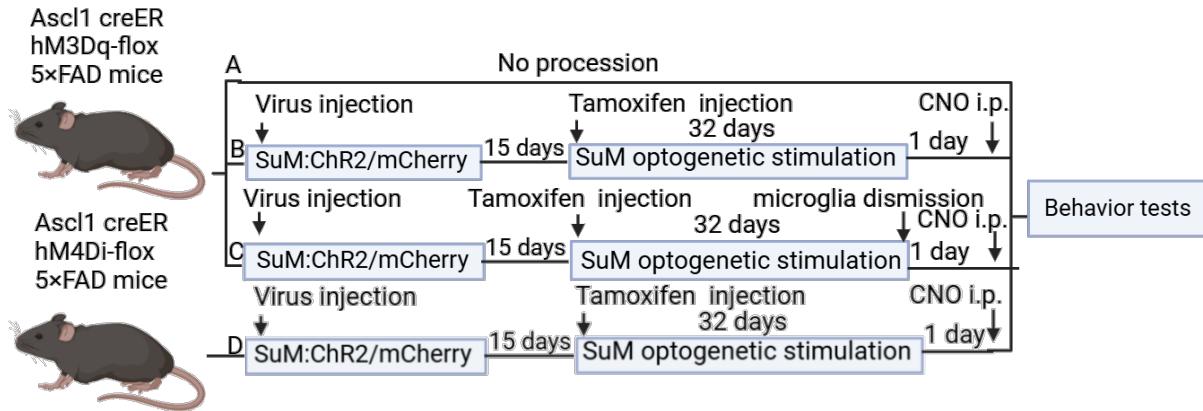


Figure 3: Experiment 1 design

### 2.1.3. Expected result

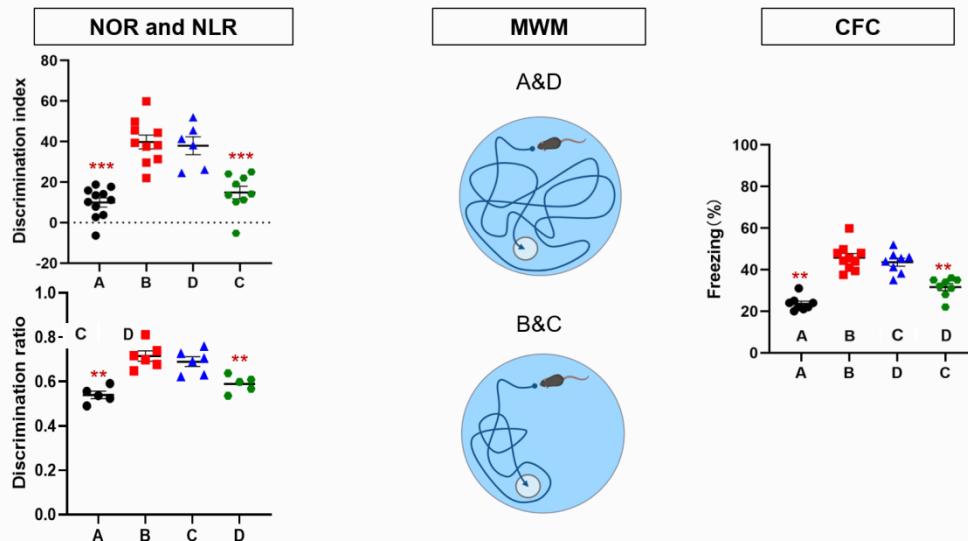


Figure 4: Experiment 1 expected result

As shown in Figure 4, in the NOR experiment, Groups B and C performed better because they have ABNs. Group D performs worse but better than Group A. Because we predict that microglia have something to do with Cognition and emotion, like indirectly impact or something. And in MWM test group B and C perform better in Memorizing the location of the platform.

## 2.2. The activation of microglia

### 2.2.1. Hypothesis

In the previous research, we already knew that ABN can activate microglia and enhance their phagocytic activity [1]. However, in the pathological mechanism of AD, on the one hand, microglia can be activated by A $\beta$  plaques and exert their phagocytic activity, clearing A $\beta$  and damaged cells [8]; Microglia can also induce the clearance of A $\beta$  by releasing proteases that degrade A $\beta$ , such as insulin degrading enzyme, plasminogen [9]. Therefore, we want to investigate whether microglia are activated by ABN or by A $\beta$  plaques in the context of SuM activation.

So, we made the following hypothesis:

Hypothesis 1: Microglia is only activated by A  $\beta$  and is not related to the activation of SuM.  
 Hypothesis 2; Microglia are activated by both A $\beta$  and SuM, and the degree of simultaneous activation of both is higher than that of activation by A $\beta$  alone.

### 2.2.2. Method

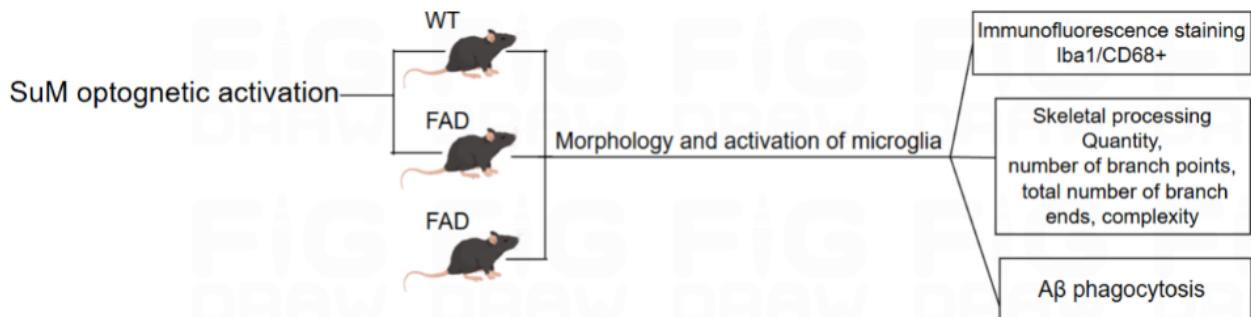


Figure 5: Experiment 2 design

The experimental design consists of four groups of mice, Group A and D are wild-type mice, while Group BC and are FAD mice (see Figure 5). Group AB mice activate SuM using optogenetic methods, while Group CD remains inactive. Afterwards, the activation status of microglia and their ability to phagocytize A $\beta$  plaques are analysed. The analysis content is divided into three parts. Firstly, immunofluorescence staining is used. Iba1 is a marker for microglia, CD68 is a marker for microglial activation and phagocytosis, and photos of microglia are taken under confocal fluorescence microscopy [10]; the activation of microglia is mainly reflected in their morphological changes, from a highly branched resting state to an enlarged cell body in an amoeboid activated state [11]. Therefore, we will use ImageJ software and NeuroJ plugin to analyze the Quantity, number of branch points, total number of branch ends, complexity of microglia to determine their activation status. Finally, we will test the ability of microglia to phagocytize A $\beta$  plaques.

### 2.2.3. Expected result

Table 1: Experiment 2 expected result

Expected result	1	2	3
Activation of microglia	WT $\times$	WT $\checkmark$ FAD $\checkmark$	WT $\checkmark$ FAD $\checkmark$
Activation and phagocytosis		BC two groups comparable	Group B stronger than those of Group C
Conclusion	Only activated by A $\beta$	Both A $\beta$ and SuM	Both A $\beta$ and SuM Activation effect enhanced

According to Table 1, if the microglia in the WT group are not activated after activating SuM, it indicates that only A  $\beta$  activates the microglia. If both WT and FAD groups activate microglia after activating SuM, it indicates that microglia are activated by both A $\beta$  and SuM. Group B has SuM activation, while Group C does not. If the activation degree and phagocytic ability of microglia in FAD mice in Group BC are the same, it indicates that the activation ability of A $\beta$  plaques and SuM on microglia is similar. If the activity and phagocytic ability of microglia activated by SuM in group

B are stronger than those in group C, it indicates that microglia are not only activated by both, but also have a stronger ability to be activated by both than A $\beta$  alone.

### 2.3. The long-term effect of SuM modified ABNs on memory and emotion function of AD mice

At last, we want to investigate the long-term effect of SuM modified ABNs on memory and emotion function of AD mice. It's known that SuM optogenetic activation then ABN chemogenetic activation on the 5 $\times$ FAD mice will activate the microglia to be amoebic so that enhance the plaque phagocytosis, finally improve cognitive and emotional ability [12]. Then the question comes out that is it a long term improvement?

#### 2.3.1. Hypothesis

To answer this question, we put forward three hypothesis. First, the function of SuM modified ABNs on AD mice is temporary. Second, the function of SuM modified ABNs on AD mice has a long-term effect. Third, the function of SuM modified ABNs on AD mice decreases over time.

#### 2.3.2. Method

To validate these hypotheses, we developed the following experiment protocols (see Figure 6): first, dividing severe *Ascl1 creER hM3Dq-flox 5 $\times$ FAD* (AM3AD) mice to A, B, C three groups, ChR2 virus injection to SuM on half of each group, mCherry virus injection to SuM on other mice of each group. 15 days later, tamoxifen injection to all groups to turn on the hM3Dq gene. Then, SuM optogenetic stimulation is carried out for 32 days. To avoid acute effect from SuM stimulation, 1 day later, CNO i.p. are given to all groups for chemogenetic activation of SuM-modified or control ABNs [13]. The three groups of mice A, B, and C undergo behavioral tests and Imaris imaging analysis after 30-60 min, 10 days later, and 30 days later, respectively.

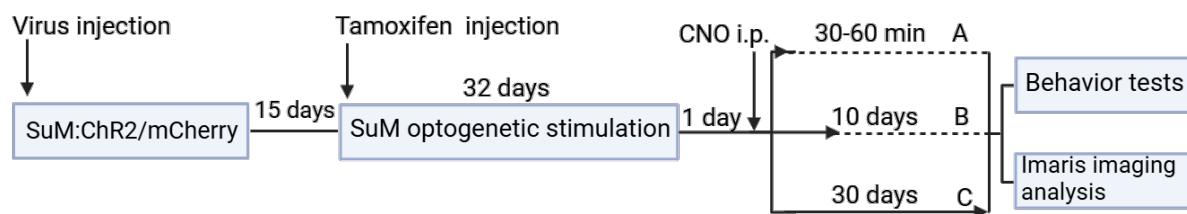


Figure 6: Experiment 3 design

#### 2.3.3. Expected result

Table 2: Experiment 3 expected result

Result	1	2	3
Cognitive and affective ability	A=B=C	A>B>C	A>B=C or A=B>C
Microglia phagocytosis ability	A=B=C	A>B>C	A>B=C or A=B>C
Conclusion	Hypothesis 1 or 2	Hypothesis 3	Hypothesis 1

According to Table 2, by comparing the cognitive and emotional abilities and microglia phagocytosis improvement of ChR2 AM3AD mice and mCherry AM3AD mice in the three groups A, B, and C, if

A=B=C, there are two possibilities: The function of SuM modified ABNs on AD mice has a long term effect, or one month is too short. Hypothesis 1 or 2 is right, if A>B>C, this means the function of SuM modified ABNs on AD mice decreases over time, hypothesis 3 is right, if A>B=C or A=B>C, this means the function of SuM modified ABNs on AD mice is temporary, hypothesis 1 is right. If result 1 appears, further experiment will delay ABN activation to validate whether the function of SuM modified ABNs on AD mice is permanent.

### 3. Conclusion

#### 3.1. Research implications

It has been confirmed that the activation of ABN and microglia can directly improve the learning memory and emotional regulation of AD mice. But the detailed mechanism of it is not clear.

Our research will figure it out how ABN and microglia independently and jointly regulate cognitive and emotional function, this will help to discover new therapeutic targets. For example, the study of drugs that can activate ABNs or microglia, rather than directly using antibodies bound to Abeta to activate immune cell phagocytosis.

The mechanism of microglia activation will also be further clarified by this research, which is important for understanding the role of microglia in Alzheimer's disease.

Finally, our research will investigate the long-term effect of SuM modified ABNs on memory and emotion function of AD mice, which suggests that early intervention in Alzheimer's disease is possible if it does exist.

#### 3.2. Limitation

This study has certain drawbacks. Initially, we employed 5xFAD, a single AD mouse model that overexpresses the disease genes. It does not, however, fully reproduce the diseases of AD in humans. To expand our findings to a more physiological context for AD disorders, we plan to investigate knockin mice models of AD in the future. Secondly, our investigation does not go into detail about molecular investigations, such as phosphoproteomics.

#### 3.3. Research prospect

There are also some further researches that we hope to deal with in the future. We want to explore whether the activation of microglia augments the function of ABNs. And we also expect to figure out the specific effect of ABN maturity quantity activity through analyzing Golgi staining, the density of newly generated neuronal dendritic spines/number of synaptic branches, which can also be used as indicators to determine whether the activation of microglia can enhance the function of ABNs.

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